

# Licensing Training Authorisation and Approval Guide

December 2022



# This document provides an overview of the training requirements for licensing.

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This guide is intended for providers who wish to offer 'complete' training to potential licensees who need to obtain a licence. It provides guidance on creating Rent Smart Wales approved landlord and/or agent training courses, as well as information on how to become an authorised training provider for 'complete' training. If you also want to offer Continuing Professional Development (CPD) training, you must read the guide which explains how to apply for CPD course approval and training provider authorisation. This can be found on the Rent Smart Wales website, on the downloads page: <a href="www.rentsmart.gov.wales/en/resource-library/">www.rentsmart.gov.wales/en/resource-library/</a>

If you require assistance with completing the application forms, or need further information about the application process, please contact the Rent Smart Wales Training Team on 03000 133 344 or RentSmartWales.Training@cardiff.gov.uk

# 1. Background

Part 1 of the Housing (Wales) Act 2014 requires any landlord who has a rental property in Wales, which is rented on an applicable occupation contract under the Renting Homes (Wales) Act 2016 or a regulated tenancy for the purposes of the Rent Act 1977, to register. Landlords who undertake letting and management tasks at their rental properties in Wales are required to apply for a licence. Landlords who are not involved in setting up occupation contracts and managing their rental properties do not need a licence; however, they must use a licensed agent and register as a landlord declaring their agent on the registration. Licensing requirements stipulate licence holders must complete approved training. This training should provide landlords and agents with relevant, up to date information and professional development opportunities in order to successfully manage occupation contracts within the law and provide rented accommodation in good repair.

Under Regulation 4 of The Regulation of Private Rented Housing (Training Requirements) (Wales) Regulations 2015, the Licensing Authority must specify requirements in relation to training (in accordance with 19(3)(a) of the Housing (Wales) Act 2014).

### Training must specify:

- a) the statutory obligations of a landlord and tenant;
- b) the contractual relationship between a landlord and tenant;
- c) the role of an agent who carries out lettings work or property management work;

- d) best practice in letting and managing dwellings subject to, or marketed or offered for let under, a domestic tenancy;
- e) the role of a landlord who carries out lettings activities or property management activities;
- f) any other requirements in relation to training which the licensing authority considers necessary to be included in an approved training course.

Therefore in order to be awarded a licence, both landlords, agents and any connected persons must have completed either a training course with Rent Smart Wales (RSW) which will cover the minimum content set out in points a) to f) above, or alternatively a course which has been approved by Rent Smart Wales and delivered by an Authorised external training provider (ETP). These courses will again meet the minimum content specified above and these courses are called 'complete' courses.

The legislation makes provision for courses to be 'Approved' and Training Providers to be 'Authorised' by RSW, so they can offer suitable training for the purposes of landlords and agents obtaining a licence. Although the law covers the key aspects of what is necessary to apply for approval and authorisation, and the process RSW must adhere to when accepting or rejecting such applications, additional details are covered in this document.

# 2. Landlord Course Requirements

Courses must provide content on **statutory and contractual requirements** and **best practice** (in line with the Rent Smart Wales Code of Practice created by the Welsh Ministers under section 40 of the Housing (Wales) Act 2014), making it clear the differentiation between each. In addition, the landlord must understand their obligations, tenant's obligations, and where a landlord instructs one, the agent's obligations.

The course content can be delivered in any order or under any number of modules; as long as the overarching learning outcomes are met. When delivering courses through an online platform, training providers should ensure courses are, as a minimum, interactive and engaging, providing an environment that stimulates learning.

The course approval assessment process will consider whether there is an adequate validation process. Rent Smart Wales will require that the learners validation involves a

robust examination process which demonstrates that the delegate has a good understanding of the syllabus covered.

The content below is relevant for a 'complete' course aimed at landlords or landlords' staff (defined as 'connected persons') who must undertake training as part of an initial licence application. You must ensure all areas listed below are covered in your course content.

Landlord course content for initial licence application

Landlord course content for initial licence application		
TI	he Housing (Wales) Act 2014	
Rent Smart Wales	Overview, purpose of legislation, Welsh Government involvement	
	Registration	
	Licensing	
	Conditions/Code of Practice	
	Fit & proper person	
	Training	
	Enforcement	
	Agent Audit (Rent Smart Wales)	
	Changes which must be notified to RSW by law	
	Maintaining registration and renewing a licence	
	'Connected Persons' under Housing (Wales) Act 2014	
Key relationships		
Definition and responsibilities	Agent, landlord, contract-holder	
Pre-contract considerations		
Permissions/instructions	Freeholder	
	Mortgage provider	
	Insurance company	
	Rent Smart Wales registration/licence	
Тах	Income tax (HMRC)	
	Capital gains tax	
	Inheritance tax	
	Council tax	
	Land transaction tax	

Property investment	Investing in a property
	Private rented sector (PRS) market
Where to get advice	Landlord associations
	Rent Smart Wales Code of Practice
Letting options	Landlord self-manage
Louing options	Agent let only; letting & rent collection; full management
	Working with vulnerable tenants
Inquirongo	
Insurance	Building and contents
	Landlord insurance
	Public liability (contractors)
Advertising/marketing	Fees / breakdown and description (CMA Guidelines) Tenant fee ban
Energy performance	Indicators at advertising
	Certificate at viewing
Energy improvement	Legislative changes – tenant request
	Consent & minimum E rating
Property improvements	Conversions, planning and building regulations
Se	tting up occupation contracts
Hierarchy of Law	Statute, Contract and Common
Types of Occupation	Renting Homes (Wales) Act 2016, supplementary
Contracts	legislation and WG Guidance
	Secure Contracts
	Standard Contracts (fixed and periodic)
	Tenancies and Licences that are outside of scope of
	Renting Homes and Rent Smart Wales
	Penalties for non-compliance
Standard Occupation	Written Statement of Contract
Contracts	Model Written Statements
	Timescales for Issuing
	Terms: Key Matters, Fundamental, Supplementary and
	Additional
	Unfair terms
Vetting contract-holders	Interview & references or reference service
·	
	Guarantor

	ID checks, immigration status	
Protection of information	Data Protection Act 2018 / GDPR	
Tenancy deposits	Deposit protection schemes	
	Inventory – Supplementary Terms	
	Reasonable wear and tear	
	Bond guarantee schemes	
Unlawful discrimination	Equality Act 2010	
Setting the rent	Agreement with the tenant	
	Rent assessment committee (converted only)	
Housing benefits	Local Housing Allowance	
	Universal Credit	
Utilities	Who is responsible for paying	
	Record of meter readings	
	Welsh Water Regulations 2014 - Landlord Tap	
Responsibilities and liabilities of a landlord		
Repair Obligations and	Repair Responsibilities and Limitations	
Fitness for Human	Landlord and Contract-Holder Obligations	
Habitation	Fitness for Human Habitation – 29 'Matters and	
	Circumstances'	
	Access for Repair/Right to Quiet Enjoyment	
	Compensation and Penalties	
Housing Health & Safety	Housing Act 2004	
Rating System	Common hazards - 29 hazards	
	Enforcement	
Key health & safety	Electrical Safety Regulations (1994)	
legislation	Fire Safety Regulations (FSO 2005 & The Fire Safety Act	
	2021)	
	Legionella - Health & Safety at Work Act 1974	
	Asbestos Regulations (2012)	
	Gas Safety Regulations (1998)	
	Furniture and Furniture Safety Regulations (1988)	
	Smoking and Health Act 2006	

	Definition of a LIMO
	Definition of a HMO
Houses of multiple	Duties of a HMO manager - HMO Regulations 2006
occupation (HMOs)	Licensing - Housing Act 2004, fit & proper
	Failure to comply - offences
	Mandatory and additional licencing
Selective licensing	Brief overview of selective licensing schemes
Disposal of waste	Duty of care
	During the contract
Moving in	Key information, appliance instructions
Routine visits,	24 hour notice. Right to occupy without interference from
maintenance	landlord
	Periodic and other visits
Emergency procedures	Emergency contact
	Emergency entry
Rent	Collection
	Written receipt for rent
	Varying the Rent
	Rent arrears triggers
	Small Claims County Court Judgement
Changing terms	Variation of Standard Occupation Contracts
Succession, Joint	Death and Succession
Contracts and Consent	Joint Contract Holders: Liability, Leaving and Adding
	Consent and Reasonableness
Landlord/contract-holder	Preventing contract breakdown, mediation, support
relations	services, legal action
	Contract-holder's right and obligations
End of fixed term	Three options: default to periodic, choose to issue new
standard occupation	fixed or new periodic
contracts	IIACU OI HEW PEHOUIC
Improving energy	Contract-holder's rights, grants and schemes
efficiency	Contract-Holder's rights, grants and solicines
Complaints	Timely response
	Redress to Ombudsman

Ending an occupation contract	
	Mutual Consent
	Antisocial behaviour
	Serious rent arrears
	Breach of Contract
Possession (Renting	Possession of Periodic Contracts
Homes (Wales) Act 2016)	Possession of Fixed Term Contracts
	Prescribed Forms
	Abandonment
	Unlawful eviction and harassment
	Retaliatory Eviction
Termination of	Fixed term/periodic
occupation contract by contract-holder	Joint Tenancies - Withdrawal Notice
	Utilities - meter readings, informing Welsh Water
	Council tax
Moving out	Inventory
	Deposit return - receipts, alternative dispute resolution
	Property handover

The course must cover information relevant for new occupation contracts from the 1 December 2022 as well as information about converted contracts specifically around possession and FFHH

## Landlord course content for renewal licence application

For licence renewal purposes, the course content below has been revised to focus on less topics but the expectation is that the subject matter covered will be in more detail, more practical with the opportunity for additional case studies and discussion. It is not required that the course follows the sequence described below, as long as the topics are covered.

The Housing (Wales) Act 2014	
Rent Smart Wales	Overview and purpose of the legislation
	Recap of the actions taken to comply by the licensee Benefits of RSW and encourage sign up for updates Duty to keep information up to date

	Licence conditions
	Rent Smart Wales Code of Practice
Definition and	
responsibilities	Agent, landlord, tenant
	Pre-contract considerations
Target audience	Working with vulnerable tenants and support packages
	Student lets, additional challenges
Insurance	Building and contents
	Landlord insurance Public liability (contractors)
Advertising/marketing	Fees / breakdown and description (CMA guidelines)
, The control of the	Tenant fee ban
Energy performance	Indicators at advertising
certificates (EPC)	Certificates at viewing  Minimum legal standard
Property improvements	<u> </u>
	What needs planning and building regulation approval
	ting up an occupation contract
Types of Occupation	Renting Homes (Wales) Act 2016, supplementary
Contracts	legislation and WG Guidance
	Secure Contracts
	Standard Contracts (fixed and periodic)
	Tenancies and Licences that are outside of scope of
	Renting Homes and Rent Smart Wales
	Penalties for non-compliance
Standard Occupation	Written Statement of Contract
Contracts	Model Written Statements
	Timescales for Issuing
	Terms: Key Matters, Fundamental, Supplementary and
	Additional
	Unfair terms
Vetting contract-holders	Interview & references or reference service
	Guarantors
	ID checks, immigration status
Protection of information	Data Protection Act 2018 / GDPR
Tenancy deposits	Deposit protection schemes
	Inventory – Supplementary Terms

	Legal compliance requirements and pitfalls	
	Fair wear and tear	
Unlawful discrimination	Equality Act 2010, protected characteristics and relevant	
	case law	
Setting and reviewing	Importance of dialogue with tenant	
rent	Variation of Rent	
Financial assistance	Local Housing Allowance	
	Universal Credit	
	Tenancy Saver Loan	
	Other grant assistance for landlords and tenants	
Utilities	Notifying suppliers	
	Welsh Water Regulations 2014 - Landlord Tap	
Respon	sibilities and liabilities of a landlord	
Repair Obligations and	Repair Responsibilities and Limitations	
Fitness for Human	Landlord and Contract-Holder Obligations	
Habitation	Fitness for Human Habitation – 29 'Matters and	
	Circumstances'	
	Access for Repair/Right to Quiet Enjoyment	
	Compensation and Penalties	
	Periodic Inspections and Dialogue for Maintenance	
Housing Health & Safety	Housing Act 2004	
Rating System	Enforcement	
	Detail on specific hazard avoidance in relation to:	
	Condensation, damp and mould	
	Cold homes	
	Infection control	
	Fire safety	
	Carbon Monoxide	
	Electrical Safety	
	Slips, trips and falls and protection from accidents	
Key health & safety	Electrical Safety Regulations (1994)	
legislation	Fire Safety Regulations (FSO 2005 & The Fire Safety Act	
	2021)	

	Legionella - Health & Safety at Work Act 1974
	Asbestos Regulations (2012)
	Gas Safety Regulations (1998)
	Furniture and Furniture Safety Regulations (1988)
	Smoking and Health Act 2006
Disposal of waste	Duty of care
	During the contract
Moving in	Key information, appliance instruction
Routine visits,	24 hour notice. Right to occupy without interference from
maintenance	landlord
	Periodic and other visits
Emergency procedures	Emergency contact
	Emergency entry
Rent	Collection
	Written receipt for rent
	Varying the Rent
	Rent arrears triggers/early warning signs
	Small Claims County Court Judgement
	Support packages for contract-holders
Changing terms	Variation of Standard Occupation Contracts
Succession, Joint	Death and Succession
<b>Contracts and Consent</b>	Joint Contract Holders: Liability, Leaving and Adding
	Consent and Reasonableness
Landlord/contract-holder	Preventing contract breakdown, mediation, support
relations	services, legal action
	Contract-holder's right and obligations
End of fixed term	Three options: default to periodic, choose to issue new
standard occupation	fixed or new periodic
contracts Complaints	Complaint procedure and link to licence condition
	Redress to Ombudsman
	Ending a tenancy
	Mutual Consent
Possession (Renting	
Homes (Wales) Act 2016)	Antisocial behaviour

	Serious rent arrears
	Breach of Contract
	Possession of Periodic Contracts
	Possession of Fixed Term Contracts
	Prescribed Forms
	Abandonment
	Unlawful eviction and harassment
	Retaliatory Eviction
Termination of	Fixed term/periodic
occupation contract by contract-holder	Joint Tenancies - Withdrawal Notice
	Utilities - meter readings, informing Welsh Water
	Council tax
Moving out	Inventory
	Deposit return - receipts, alternative dispute resolution
	Property handover

The course must cover information relevant for new occupation contracts from the 1 December 2022 as well as information about converted contracts specifically around possession and FFHH

# 3. Agent Course Requirements

Courses must provide content on statutory and contractual requirements and best practice, making it clear the difference between each. In addition, the agent must understand their duties to landlords and to tenants, tenant's obligations, landlord's obligations and their role as agents in the letting and managing process.

The course content can be delivered in any order or under any number of modules; as long as the overarching learning outcomes are met. When delivering courses through an online platform, training providers should ensure courses are at a minimum, interactive and engaging, providing an environment that stimulates learning.

The course approval assessment process will consider whether there is an adequate validation process. Rent Smart Wales will require that the validation process involves a robust examination process which demonstrates that learners have a very good understanding of the syllabus covered.

This content is relevant for any course aimed at agents and their staff (defined as 'connected persons') who must undertake licensing training under the legislation either as

part of an initial or renewal licence application. You must ensure all areas listed below are covered in your course content.

Agent course content for initial licence application

The agent's course sho	ould cover all of the topics listed in the landlord's course as
well as the following:	
3	Agent business considerations
Contracts	Written
	Verbal
	Cancellation
	Principles of contract law
	Consumer contracts
	Power of attorney
	"Connected Person" under Housing (Wales) Act 2014
Terms of business	Fees
	Client money protection
	Accounting and audit trail
	Start/finish of termination
	Estoppel
	Employee contract
	Professional Indemnity Insurance
Consumer protection	Tenant fee ban
	Consumer Contracts Regulations 2013
	Local authority enforcement
Law of agency	Limit of authority
	Outside of the law
	Statutory and common law
	Supply of Goods And Services Act 1982 - Section 13
	Verbal authority
	Duty of care and skill
Financial and other	Financial Conduct Authority (FCA)
considerations	Finance Act 1995
	Proceeds of crime
	Money laundering
	Negligence
Ke	ey relationships impacting the business
Who's who	Agent, landlord, contract-holder
Agent's duties to a	Obedience, loyalty, to account, performance, disclosure
landlord	Obedictice, loyalty, to account, performance, disclosure
Landlord's duties to the agent	Remuneration, indemnity, lien
Agent's duties to a tenant	Impartiality, duty of care, Equality Act 2010
Where to get advice	Agent associations

## Agent course content for renewal licence application

For licence renewal purposes the course content has been revised to focus on less topics but the expectation is that the subject matter covered will be in more detail, more practical with the opportunity for additional case studies and discussion. It is not required that the course follows the sequence described, as long as the topics are covered.

The content would be the same as for a landlord's licence renewal course plus the following:

Agent business considerations	
Terms of business	Fees
	Client money protection
	Accounting and audit trail
	Start/finish of termination
	Estoppel
	Employee contract
	Professional Indemnity Insurance
Consumer	Tenant fee ban
protection	Consumer Rights Act 2015
	Consumer Contracts Regulations 2013
Financial and other	Financial Conduct Authority (FCA)
considerations	Finance Act 1995
	Proceeds of crime
	Money laundering
	Negligence
Key relationships impacting the business	
Who's who	Agent, landlord, contract-holder
Agent's duties to a landlord	Obedience, loyalty, to account, performance, disclosure
Landlord's duties to	Remuneration, indemnity, lien
the agent	
Agent's duties to a	Impartiality, duty of care, Equality Act 2010
tenant	
Pre-contract considerations	
Advertising/marketing	Lawful instruction to let

# 4. Course approval process

Section 6 of The Regulation of Private Rented Housing (Training Requirements) (Wales) Regulations 2015, authorises the Licensing Authority to attach or remove a condition or conditions to course approval applications. As part of your course approval, you are required to adhere to a set of conditions. The standard conditions as set out below, however, additional conditions may be imposed by Rent Smart Wales, based on the merits of individual applications. This will be assessed on a case by case basis.

The standard conditions for course approval are:

- 1. The course(s) can only be delivered by an authorised training provider under Part 1 of the Housing (Wales) Act 2014.
- 2. The Rent Smart Wales branding must be used in accordance with the brand guidelines at all times.
- 3. You must notify Rent Smart Wales if any significant changes are made to the course validation method, including changes to the questions.
- 4. You must notify Rent Smart Wales if any significant changes are made to the course content.
- 5. The content of the course and method of assessment must be kept relevant and amended to reflect any relevant legislative changes impacting Wales, within 28 days of the change. Rent Smart Wales must be made aware of the changes in order to agree the new content. Any significant changes to content which occur once a delegate has attended the training (for the next 5 years), must be communicated to that delegate by way of an update communication within 28 days of the change occurring.
- 6. Training certificates issued to course delegates must be in accordance with that agreed by Rent Smart Wales at the time of your application which needs to include the Rent Smart Wales Approved Landlord / Agent Course logo or if this is not possible, the wording 'Approved Rent Smart Wales Course'. The training certificate is required to include the following disclaimer:

'This certificate is not proof of obtaining a licence from Rent Smart Wales; it is only to certify the named person has completed landlord or agent training."

- 7. Issued training certificates need to display the full forename and surname of the delegate. Rent Smart Wales reserves the right to request a new certificate to be issued if a certificate does not correspond to the delegate's name on the Rent Smart Wales licence application.
- 8. Classroom training courses are to be assessed by a Rent Smart Wales Assurance and Development Officer within the first 3 months (or on first course run) of course approval

- being granted. Upon course assessment, Rent Smart Wales may issue recommendations which need to be adopted in order for course approval to continue.
- 9. The venue chosen for delivery of classroom training must meet accessibility standards.
- 10. You / your organisation must adhere to the Data Protection Act 2018 and the General Data Protection Regulations (GDPR).

## **Course Approval Applications:**

When applying for course approval, you are required to provide a set of documents which will support your application. These are listed below:

- Completed course approval form
- Full course syllabus or access to it if course delivered online
- Copy of presentations and handouts
- Three test papers (20 questions minimum each) or 60 pool questions for online tests and respective pass mark (minimum 70%)\*
- Sample Training Certificate
- Sample delegate feedback form

#### **Course Approval Fee:**

Course approval fees are set out in the Rent Smart Wales Fee Policy 2020 which can be viewed here: https://www.rentsmart.gov.wales/en/resource-library/

Rent Smart Wales will not process applications without payment being received.

## 5. Training authorisation process

Under Regulation 3 of The Regulation of Private Rented Housing (Training Requirements) (Wales) Regulations 2015, the Licensing Authority is authorised to specify general requirements in relation to training (in accordance with 19(2)(b) of the Housing (Wales) Act 2014). Paragraph (b) of regulation 3, stipulates that training is carried out by an authorised training provider or by the Licensing Authority.

Section 6 of <u>The Regulation of Private Rented Housing (Training Requirements)</u> (Wales) Regulations 2015, grants the Licensing Authority the right to attach or remove a condition or conditions to Training Provider Authorisation applications. As part of your training authorisation approval you are required to adhere to a set of standard conditions.

<sup>\*</sup>Number of questions and test papers as well as the pass mark are evaluated on a case by case.

However, additional conditions may be imposed by Rent Smart Wales, based on the merits of individual applications. This will be assessed on a case by case basis.

## The standard conditions for training provider authorisation:

- Your authorisation allows you and/or your company to deliver only the course(s)
  named on your application form which will be approved under Part 1 of the Housing
  (Wales) Act 2014.
- 2. You can only deliver the course(s) named on your application under the name of the approved training provider stated on your application. This authorisation does not allow you to outsource the delivery of the course(s) to any other organisation without the expressed authorisation of Rent Smart Wales.
- 3. The Rent Smart Wales branding must be used in accordance with the brand guidelines at all times.
- 4. You must implement the validation method strictly in accordance with the requirements of the course approval issued under Part 1 of the Housing (Wales) Act 2014. Any changes to the validation method must be agreed with Rent Smart Wales prior to implementation.
- The minimum experience and qualifications set out in your application must be maintained for all trainers employed by your company who deliver Rent Smart Wales approved courses.
- 6. Only the trainers listed on your application are authorised to deliver the Rent Smart Wales approved training courses. RSW must be notified if any trainers cease to deliver the course(s). The addition of new trainers is subject to Rent Smart Wales approval; until such approval has been granted new trainers cannot deliver training course(s).
- 7. All trainers (and all persons associated with the organisation/company) need to be fit and proper. If a trainer or anyone they are associated with or formally associated with has unspent convictions such as those listed below, Rent Smart Wales must be made aware. A list of applicable offences is as follows:

- (a) committed any offence involving: fraud and other dishonesty; violence, firearms or drugs; any offence listed in Schedule 3 to the Sexual Offences Act 2003 (offences attracting notification requirements);
- (b) practised unlawful discrimination or harassment on the ground of any characteristic which is a protected characteristic under section 4 of the Equality Act 2010, or victimised another person contrary to the Act, in or in connection with carrying on of any business; or
- (c) contravened any provision of the law relating to housing or landlord tenant such as: unlawfully evicted a tenant; been refused a licence or selective licence under the Housing Act 2004; had a licence revoked for breach of any conditions under the Housing Act 2004; been subject to a Management Order under the Housing Act 2004; failed to comply with a Housing Notice (requiring works etc.) served by a local authority; been subject to complaints from tenants or other sources, regarding serious or repeated breaches of the conditions of a licence under the Housing Act 2004.
- 8. You and/or your organisation must obtain the necessary assurances from all of your trainers, who are landlords of properties in Wales, that they will run their properties in accordance with all relevant legislation and the Rent Smart Wales Code of Practice.
- 9. You must provide quarterly figures for the total number of agents and/or landlords who have completed the course(s) named on your application within 28 days of the request being made by Rent Smart Wales.
- 10. You and/or your organisation agree to be audited by Rent Smart Wales as stipulated by section 10 of The Regulations of Private Rented Housing (Training Requirements) (Wales) Regulations 2015.

## Training provider authorisation supporting documentation:

When applying for training provider authorisation, you are required to provide a set of documents which will support your application. These are listed below and are mandatory:

- Completed training provider authorisation form
- Trainers CV (including qualification certificates)
- Trainer monitoring process
- Trainer code of conduct/practice

- Data protection policy
- Course/booking cancellation policy
- Complaints procedure
- Sample delegate feedback form

## Training authorisation fee:

Training provider authorisation fees are set out in the Rent Smart Wales Fee Policy 2020 which can be viewed here: <a href="https://www.rentsmart.gov.wales/en/resource-library/">https://www.rentsmart.gov.wales/en/resource-library/</a> Rent Smart Wales will not process applications without payment being received.

## 6. Rent Smart Wales Audit

Under Regulation 10 of The Regulation of Private Rented Housing (Training Requirements) (Wales) Regulations 2015, the Licensing Authority has the right to monitor an authorised training provider.

- 1) An authorised training provider must, on request from the licensing authority, supply to the licensing authority;
  - (a) such information concerning the authorised training provider's administrative arrangements and the conduct of courses and in such manner, as the licensing authority may reasonably require; and
  - (b) records or other documents (in whatever form they are held) which are kept for the purposes of compliance with these Regulations.
- 2) Information or documents (as the case may be) requested under paragraph (1) must be supplied by the authorised training provider within such time limit as the licensing authority may reasonably require or as soon as reasonably practicable.

### **Audit objectives**

The overall objective of the audit is for Rent Smart Wales to obtain assurances that an approved training provider manages a robust training system. The controls in place should enable the training provider to comply with the law, conditions set out in the training authorisation and course approval (for training providers) applications and the course approval and training provider authorisation guide. This in turn, will ensure compliance of legislation within the Housing (Wales) Act 2014. Where it is considered that improvements can be made, these will be communicated with the training provider, so that controls can be enhanced.

A copy of the **Rent Smart Wales training authorisation and approval audit framework and guidance** can be obtained by contacting the training team on 03000 133 344 or RentSmartWales.Training@cardiff.gov.uk

**7. Course approval and training provider authorisation renewal** Course approval and Training Provider Authorisation lasts for a period of five years. At the end of the approval period ETPs are required to renew their course approval and training provider authorisation.

The Course Approval and Training Provider Authorisation renewal process requires applicants to submit a new application and supporting documents as detailed in this document.

Rent Smart Wales will review this Guide on a periodic basis.

## Appendix A – glossary of terms

#### **Authorisation fee**

Training must be pre-determined by the Licensing Authority (Rent Smart Wales) to be suitable for licensing and licence renewal purposes. This is set out in the Housing (Wales) Act 2014. The Regulation of Private Rented Housing (Training Requirements) (Wales) Regulations 2015 allows for the Licensing Authority to charge a fee for an application from an External Training Provider (ETPs) for authorisation to deliver a course(s) for the purposes of licensing/relicensing under the Act.

## Approval fee

A fee to approve an application for a course is done via the same mechanism as for an authorisation fee above. Authorised ETPs can only deliver a Licence course that RSW would accept, if pre-approved as a course under the process set out in this document.

### External Training Providers - ETP's

An external training provider is the term for organisations outside of Rent Smart Wales who provide training to landlords and agents for the purpose of licensing/renewal. However, who can only do so after being 'authorised' by RSW to offer such training. Each course they offer and brand as suitable for licensing or re-licensing purposes must be preapproved by RSW.