Application form Agent licence



Please read the following instructions first:

Before completing this form, review the guidance available in section 8. An asterisk (*) next to a question indicates that guidance is available. This form is to be used to become licensed as an agent in Wales under the Housing (Wales) Act 2014. The information provided on this form will be used to update your Rent Smart Wales user account and agent profile.

	Section 1 - Agent details			
Are you applying for	A first licence Licence renewal			
Are you applying as*	An Individual (go to section 1.1)A corporate body (go to section 1.2)A charity (go to section 1.2)A trust (go to section 1.2)			
	Section 1.1 - Individual details			
Your full legal name	Title First name Middle name(s)			
Other name(s) by which you have been known				
Date of birth	(DD/MM/YYYY)			
Correspondence address				
Telephone number				
Email				
Please supply one of the following	Driving licence number National Insurance number Passport number			
Language preference	Correspondence: English Velsh Bilingual Spoken: English Welsh Welsh			

Section 1.2 – Corporate Body, Trust, Charity			
This section must be completed by a * Principal User i.e. company director, lead trustee or senior person within the charity.			
Details of person completing this form	Title First name Middle name(s) Surname Date of birth (DD/MM/YYYY) Role (job title)		
Organisation name			
Company registration number*			
Correspondence address			
Registered or principal office address	Same as correspondence address? Yes No		
Contact telephone			
Contact email			
	Section 2 - Your portfolio and business safeguards		
Which of the follo best describes yo			
Number of rental properties the agent lets/manages in Wales	Number of rental properties at which the agent only completes letting activities in WalesNumber of properties at which the agent only completes management activities in Wales		

Your managed property list

You must update and re-upload your Managed Property List at least once every 12 months. Please only include the properties that you manage on behalf of others - do not include your own properties or let only properties. If you have your own properties, these should be in a separate Landlord Registration as required by the Housing (Wales) Act 2014.

A bedsit is a single unit within a property comprising a living/sleeping space for which the resident has exclusive occupation rights. However, the tenant (contract-holder) shares some facilities with other occupiers in the building e.g. kitchen and/or bathroom.

Landlord name	Landlord contact number	Landlord address	Landlord email address (leave blank if unavailable)	Rental property address and postcode	Is this landlord registered? (Y/N)	If managing for relatives only, please specify your relationship to the landlord	Is this property a 'Bedsit'? (Y/N)

Do you, as the applicant, have membership to a professional body? Yes No			
yes, list here			
no, do you have Client Money Protection			
Professional Indemnity Insurance			
Membership to a Redress scheme			
If you have answered yes to any of the safeguards above, you must submit evidence with your			

If you have answered yes to any of the safeguards above, you must submit evidence with your application. This evidence must be in the form of a policy certificate or policy schedule, clearly outlining the type of safeguard contained.

Tick to provide your consent for Rent Smart Wales to display details of your safeguards on the Public Register

Further guidance regarding the requirement to hold business safeguards can be found in section 8.

Provide all office addresses used by the company for letting and management business of property in Wales		
	Section 3 - Training details	
Training is an integral part of your licence application. You must demonstrate that you and any employees have undertaken approved Rent Smart Wales training before submitting an application. Without this evidence for every employee, your application cannot be processed. There are additional requirements for corporate bodies and trusts. See section 8 guidance.		
I, as the applicant, have:		
i) Completed training directly through Rent Smart Wales (this will be added to your application automatically)		
and/or:		
ii) Completed training through an external training provider and have attached evidence		
Which of the following training options* did you complete?		
First-time licence training Re-licensing training		
CPD for renewal		

How many staff* (or Trust members) conduct letting and management activities?			
lf you have no er	nployees, go to section 4.		
Employee 1 Additional sheets are available at the end of this form. See section 8 guidance	Title First name Middle name(s) Surname Date of birth (DD/MM/YYYY) Role (job title) Surname Date of birth (DD/MM/YYYY) Role (job title) Language preference: Welsh English Has this person completed approved training or booked on to approved training directly with Rent Smart Wales? Yes No If yes which training option has been completed?* First-time licence training Re-licensing training CPD for renewal CPD for renewal If completed via an authorised training provider, evidence* is required with this form. If yet to complete, which training do they intend to do and when do they expect to complete it by? Date Date		
Employee 2	Title First name Middle name(s) Surname Date of birth (DD/MM/YYYY) Role (job title) Language preference: Welsh English Has this person completed approved training or booked on to approved training directly with Rent Smart Wales? Yes No If yes which training option has been completed?* First-time licence training Re-licensing training If completed via an authorised training provider, evidence* is required with this form. If yet to complete, which training do they intend to do and when do they expect to complete it by? Date Date Date		

	Section 4 - Hear about us		
How did you hear about the requirement to obtain a licence?	Local authoritySearch engineFriend / colleagueDirect contact from Rent Smart WalesLandlord associationSocial mediaLandlord forum / eventLetting / managing agentOther (please specify)		
Section 5 - Payment			
Fee payable The fee due is based on the number of managed properties. Refer to the fee table in the guidance section for the appropriate fee for your application. Payment type Cheque enclosed made payable to Rent Smart Wales Debit/credit card (Visa, MasterCard or Maestro) If you select this option you can scan and send your application and training certificates directly to rentsmartwales@cardiff.gov.uk. We will contact you when we are processing your application. Please provide the best contact time and number. Please do not provide card details here.			
	Section 6 - Declarations		
1. Declaration of	of fitness and propriety		
Before the licensing authority can grant a licence, it must determine whether the applicant is a fit and proper person as defined in section 20 of the Housing (Wales) Act 2014.			

For this purpose, you must declare if any of the following apply to you, or anyone associated or formerly associated with you (whether on a personal, work or other basis relevant).

(a) committed any offence involving:

- i. fraud or other dishonesty,
- ii. violence, firearms or drugs

iii. any offence listed in Schedule 3 to the Sexual Offences Act 2003 (offences attracting notification requirements),

(b) practised unlawful discrimination or harassment on the grounds of any characteristic which is a protected characteristic under section 4 of the Equality Act 2010, or victimised another person contrary to that Act, in or in connection with the carrying on of any business

(c) contravened any provision of the law relating to housing or landlord and tenant such as: i. Unlawfully evicted a tenant ii. Been refused a House in Multiple Occupation licence or other licence under the Housing Act 2004

- iii. Had a licence revoked for breach of any conditions under the Housing Act 2004
- iv. Been subject to a Management Order under the Housing Act 2004

v. Failed to comply with a Housing Notice (requiring works) served by a local authority vi. Been subject to complaints from tenants or other sources, regarding serious or repeated breaches of the conditions of a licence under the Housing Act 2004.

I confirm none of the above apply to me, or anyone associated or formerly associated with me (whether on a personal, work or other basis)

If any of the above apply, you are required to provide relevant supporting information below to explain why. The information provided will be assessed by Rent Smart Wales. Issues highlighted will not necessarily prevent you from being licensed; evidence is assessed on a case by case basis. If you have unspent convictions please provide a data barring service certificate (dated within the last month) with your application.

Rent Smart Wales reserves the right to ask you for further information to assess your application, and the right to gather additional information including criminal history from regulatory bodies such as local authorities and the police when appropriate. Information gathered in this way may be taken into account when determining a licence application.

Note that spent convictions do not regularly need to be declared in line with the Rehabilitation of Offenders Act 1974. However, certain spent convictions may need to be declared upon request, as outlined in the Rent Smart Wales Collection and Use of Conviction Information Guidance, available on the website. The licensing authority will consider spent convictions where they are relevant to letting and management of properties in Wales.

When providing offence details, please specify the court and the date of the conviction:

2. Declaration of truth

I/we declare that the information contained in this application is correct to the best of my/our knowledge. I/we understand that I/we commit an offence if I/we supply any information to the licensing authority in connection with any of its functions under Part 1 of the Housing (Wales) Act 2014 that is false or misleading and which I/we know is false or misleading or am/are reckless as to whether it is false or misleading.

3. Data processing

By submitting this form you are accepting the Data Processing Notice which can be read in the guidance section. It is important that you read this notice as it details why we collect your personal data, how it will be used and who it could be shared with.

4. Data sharing

Rent Smart Wales, local authorities and partners want to connect with landlords and agents to keep them informed about local relevant information (forum events, potential tenants (contract-holders), funding opportunities, etc.)



Yes, keep me informed - you can unsubscribe at any time by contacting Rent Smart Wales

Statement of agreement to the above declarations			
Be Aware: This application cannot be processed without your agreement to declarations 1 and 2. This form must be filled in in full, signed, dated and the fee payment option selected or enclosed for it to be accepted as a valid application. Contact Rent Smart Wales on 03000 133344 for assistance. Failure to do so will cause a delay in processing and you may be operating illegally.			
This form must be signed by the individual named in section 1.1 or a company director, lead trustee or senior person within the charity of the entity in section 1.2. Without such a signature it will not be considered as a complete application and will be sent back to you to correct. This will cause a delay in your licence application. Signed Date Please return this form to: Rent Smart Wales, PO Box 1106, Cardiff, CF11 1UA			
Processing your application Upon receipt, it can take up to 8 weeks to process a complete and valid application. We will contact you if further information is required. Please note: during this time you will continue to receive automated reminders if your licence application is due for renewal.			
Final check: Have you completed all sections for the form and enclosed Up-to-date managed property list			
Safeguard certificates for Client Money Protection, Professional Indemnity and Redress			
Training certificates for you and your employees, if completed with a private authorised provider			
Data barring certificate (if applicable) Cheque with correct fee or appropriate contact details provided			

	Section 7 - Equal Opport	tunities
Rent Smart Wales wants to make to answer the following questions		cessible to everyone. We therefore ask you ce is delivered fairly.
	swer them you are helping us	to the service you receive if you do not to ensure everyone using our service is nfidence.
	Gender	
Male Female	Male to Female Fem	ale to Male Prefer not to say
	What is your age?	
Under 16 16-24	4 25-34	35-44
45-54 55-64	4 65+	Prefer not to say
	Disability	
mental health difficulties or learnir long-term health conditions, for ex	ng disabilities, people with m	ng or sight impairments, people with obility impairments, or those who have s, asthma, multiple sclerosis, HIV or cancer. No Prefer not to say
Deaf / Deafened / Hard of hearing	Mobility impairment	Long standing illness or health condition
	Learning impairment	Visual impairment
Mental Health difficulties	Prefer not to say	Other (please specify below)
Sexual orientatio	on (Only answer this questic	on if you are over the age of 16)
Gay Man 🗌 🖸	Gay Woman / Lesbian	Heterosexual
	Prefer not to say	Other (please specify below)
	Religous belief / Non-be	lief
Do you regard yourself as belong Yes (please select) Christian Budd Muslim Sikh	No, no religion	? Prefer not to say Other (please specify below)

Language skills			
English	Welsh Prefer not to say Other (please specify)		
What is your cu	rrent Marital or Civil Partnership Status (Only answer this question if you are over the age of 16)		
Single Marrie	ed Registered Civil Partnership Prefer not to say Other (please specify)		
	Ethnic monitoring		
Do you consider	yourself to be Welsh? Yes No Prefer not to say		
White	Welsh / English / Scottish Irish Other White background (please specify) Northern Irish / British (please specify)		
Mixed / Multiple Ethnic Groups	White & BlackWhite & BlackWhite & AsianOther Mixed/Multiple ethnic background (please specify)		
Asian / Asian British	Indian Pakistani Bangladeshi Chinese Other Asian background (please specify)		
Black / African / Caribbean / Black British	African Caribbean Other Black / African / Caribbean background (please specify)		
Other Ethnicity	Arab Czech Gypsy/Irish Traveller Japanese Polish Yemeni Other background (please specify)		
	Pregnancy and maternity		
Are you pregnant	, or have you given birth within the last 26 weeks?		
Pregnant	Given birth No Prefer not to say		
Thank you for taking the time to complete the Rent Smart Wales Monitoring Form			
End of application form			

Letting and management work	 If you have a connected person (defined in the section below) who does any of the following as part of their work at a rental property in Wales, they need to be trained for you to obtain a licence: a) publishing advertisements or disseminating information; b) arranging and conducting viewings with prospective tenants; c) preparing, or arranging the preparation of, the tenancy agreement; d) preparing, or arranging the preparation of, any inventory or schedule of condition; e) collecting rent; f) being the principal point of contact for the tenant in relation to matters arising under the tenancy; g) making arrangements with a person to carry out repairs or maintenance; h) making arrangements with a tenant or occupier of the dwelling to secure access i) to the dwelling for any purpose; j) checking the contents or condition of the dwelling, or arranging for them to be checked as part of a current tenancy or for one that has ended; k) serving notice to terminate a tenancy.
	To support page 5
Staff / connected persons	If an individual or company applying for a licence has employees (defined as 'connected persons in the legislation) whose work involves doing letting and management work at rental properties in Wales, they must be declared on the licence application by the applicant and they must all complete agent training in order for the applicant to obtain a licence. The full definition of a connected person is provided in Section 2 of the Regulation of Private Rented Housing (Training Requirements)(Wales) Regulations 2015. Generally speaking this is a staff member who you pay a salary to or who is an 'employee' for payroll purposes. People you pay for the services they provide (e.g. contractors) are not counted as 'connected persons' and they do not have to be trained in order for you as an agent to obtain a licence.
Training options and evidence	 An applicant and all relevant connected persons must undertake approved training either delivered by Rent Smart Wales directly or through an authorised provider. If you have completed training with an authorised provider, you should enclose the certificates as part of your application submission. The requirements for training differ, depending on whether you have completed a first-time licence course in the past. If not, the person needs to complete first-time licence training. If yes, the person can either: 1) repeat the first-time Agent Licence Training - within 1 year before submitting a renewal application; OR 2) complete Agent Re-licensing Training - within a year of application submission; OR

	 3) complete Continued Professional Development (CPD) training modules over the 5 year period prior to licence application submission (80 points are needed for an agent licence) If you are applying as a corporate body, or trust, you must ensure you have a suitably trained director, or lead trustee, connected to your application. 					
	To support page 6					
Licence fees	that you pay the cor processing delays	ee, as any dis	incur a fee. It is important crepancy will result in			
	Agent licence fees are graduated to reflect the portfolio size. A discount a applies if an Agent is a member of a recognised professional body. These bodies are currently: UKALA, ARLA, RICS, Safeagent, NAEA.					
	A social letting agency whose total letting and management activity purely relate to delivery in conjunction with a local authority social letting partnership is also eligible for the Professional Membership Discount.					
	Applications made online benefit from a reduced fee. The fees set out below relate only to paper applications. Most fees can be split into two parts, which incurs an additional administrative charge of £48.00. For further information, view the Fee Policy here: rentsmart.gov.wales/feepolicy/					
	Application type	Number of properties		Split fee		
	Agent licence -	0-4	£368	Part 1: £156 Part 2: £260		
	less than 20 managed					
	less than 20	0-4	£368	Part 2: £260 Part 1: £194		
	less than 20 managed	0-4 5-10	£368 £471	Part 2: £260 Part 1: £194 Part 2: £325 Part 1: £220		
	less than 20 managed properties Agent licence -	0-4 5-10 11-20	£368 £471 £540 £368 See 21 - 50	Part 2: £260 Part 1: £194 Part 2: £325 Part 1: £220 Part 2: £368 Part 1: £156		
	less than 20 managed properties Agent licence - let only (no properties managed) Agent licence –	0-4 5-10 11-20 0-20 (let only)	£368 £471 £540 £368 See 21 - 50	Part 2: £260 Part 1: £194 Part 2: £325 Part 1: £220 Part 2: £368 Part 1: £156 Part 2: £260 category below according		
	less than 20 managed properties Agent licence - let only (no properties managed) Agent licence – No professional body	0-4 5-10 11-20 0-20 (let only) 21+ (let only)	£368 £471 £540 £368 See 21 - 50 to profession	Part 2: £260 Part 1: £194 Part 2: £325 Part 1: £220 Part 2: £368 Part 1: £156 Part 2: £260 category below according hal body membership First instalment: £1,566		
	less than 20 managed properties Agent licence - let only (no properties managed) Agent licence – No professional body membership, 21 or more managed	0-4 5-10 11-20 0-20 (let only) 21+ (let only) 21 - 50	£368 £471 £540 £368 See 21 - 50 to profession £3,850	Part 2: £260 Part 1: £194 Part 2: £325 Part 1: £220 Part 2: £368 Part 2: £368 Part 1: £156 Part 2: £260 category below according hal body membership First instalment: £1,566 Next four: £571 First instalment: £1,986		
	less than 20 managed properties Agent licence - let only (no properties managed) Agent licence – No professional body membership, 21 or more	0-4 5-10 11-20 0-20 (let only) 21+ (let only) 21 - 50 51 - 100	£368 £471 £540 £368 See 21 - 50 to profession £3,850 £4,880	Part 2: £260 Part 1: £194 Part 2: £325 Part 2: £325 Part 1: £220 Part 2: £368 Part 2: £368 Part 2: £260 category below according hal body membership First instalment: £1,566 Next four: £571 First instalment: £1,986 Next four: £723.50 Part 1: £2,530		

1								
		Agent licence – with professional body membership, 21 or more managed properties	21 - 50	£3,465	First instalment: £1,410 Next four: £513.75			
			51 - 100	£4,392	First instalment: £1,787 Next four: £651.25			
			101 - 500	£5,553	Part 1: £2,279 Part 2: £3,322			
			501 - 1000	£7,101	Part 1: £2,909 Part 2: £4,240			
			1001+	£8.109	Part 1: £3,319 Part 2: £4,838			
			o support page	e 7				
	Data processing notice		copy of Rent Smart Wales Data Processing Notice is available at mart.gov.wales/en/privacy-policy/ or can be provided upon request.					
	notice	Why personal data is collected and stored as part of Rent Smart Wales: In order to meet the obligations of Part 1 of the Housing (Wales) Act 2014, the Licensing Authority is required to develop and maintain a system that allows for the collection of information.						
		What personal data we hold and how we obtain it: The types of personal data held and processed by Rent Smart Wales may include:						
		 Contact details including name, address, telephone numbers, and email address 						
		 Identifying details, including date of birth, National Insurance number, passport number, driving licence number Information about convictions 						
		There is also a voluntary monitoring form to ensure that Rent Smart Wales is making sure that its service is accessible to everyone. This contains additional questions that provide further personal data, however this information is only processed anonymously for statistical and reference purposes.						
		How we will use your personal data: Rent Smart Wales may process personal data to fulfil its obligations under the Housing (Wales) Act 2014, and this can include the processing of personal data for a number of purposes including the following purposes:						
		 agent a licence To make an ass per the licence i For the purpose Act 2014 For the purpose 	ord with a registr essment on the requirements set s of enforcing no	fitness and pro out in the Ho on-compliance d monitoring o	t a landlord a licence, or an opriety of an applicant as using (Wales) Act 2014 with the Housing (Wales) continued compliance with e conditions			

- For the purposes of ensuring and monitoring continued compliance with the Code of Practice
- To display (to members of the public who search the Register) if a landlord is registered; and if the landlord or agent (or both) identified as undertaking letting or management tasks at the property is licensed
- To facilitate the delivery of training courses
- To process financial transactions for payments made for registration, licensing and training costs
- To assist Local Authorities and the Licensing Authority to carry out their legal duties under the Housing (Wales) Act 2014
- For statistical and reference purposes
- To address queries and respond to any actual or potential disputes.
- In rare cases or exceptional circumstances Rent Smart Wales may use the Rental Property addresses to correspond directly with tenants (contract-holders) for purposes outside of the Housing (Wales) Act 2014 when required.

Please be aware that Rent Smart Wales works in partnership with the 22 Local Authorities in Wales to fulfil its functions under the Act, and therefore information held by Rent Smart Wales will be accessible by the Local Authorities. In addition, Local Authorities may share relevant information they hold with Rent Smart Wales to help determine whether an applicant is fit and proper to act as a licensed landlord or licensed agent.

Organisations we may share your personal data with: Your information will only be used for the purposes of the Rent Smart Wales Scheme, however on occasions Rent Smart Wales may also share personal data with other organisations. A full list can be obtained on our website.

How long we keep your personal data: Information collected by Rent Smart Wales will not be kept for any longer than necessary. The Rent Smart Wales retention period is 6 + 1 years after licence and/or registration expires. Following the end of this period, the information collected will be securely destroyed.

Who is the Data Controller for Rent Smart Wales: Rent Smart Wales is operated by Cardiff Council. Cardiff Council is therefore the Data Controller in respect of any personal data provided for these purposes. All information provided will be treated in confidence and processed in accordance with the Data Protection Act 2018 at all times. For further information on the Council's data protection requirements please contact the Data Protection Officer, by email on: dataprotection@cardiff.gov.uk.

Your Rights: You are entitled to exercise your individual rights, including access to information, correcting inaccurate information or objecting to the processing of your personal data. For more information on your rights, visit cardiff.gov.uk/ENG/Your-Council/Data-protection-and-FOI/

Additional Sheets: Training Details

Employee	Title First name Middle name(s)					
	Surname Date of birth (DD/MM/YYYY) Role (job title)					
	Language preference Welsh English					
	Has this person completed approved training or booked on to approved training directly with Rent Smart Wales?					
	If yes which training option has been completed?* First-time licence training Re-licensing training CPD for renewal					
	If completed via an authorised training provider, evidence* is required with this form.					
	If yet to complete, which training do they intend to do and when do they expect to complete it by?					
	Date					
Employee	Title First name Middle name(s) Surname Date of birth (DD/MM/YYYY) Role (job title)					
	Language preference Welsh English					
Has this person completed approved training or booked on to Yes approved training directly with Rent Smart Wales?						
						If yes which training option has been completed?* First-time licence training
	Re-licensing training					
	CPD for renewal					
	If completed via an authorised training provider, evidence* is required with this form.					
	If yet to complete, which training do they intend to do and when do they expect to complete it by?					
	Date					