Application form **Agent licence**



Please read the following instructions first:

Before completing this form, review the guidance available in section 8. An asterisk (*) next to a question indicates that guidance is available. This form is to be used to become licensed as an agent in Wales under the Housing (Wales) Act 2014. The information provided on this form will be used to update your Rent Smart Wales user account and agent profile.

	Section 1 - Agent details		
Are you applying for	A first licence Licence renewal		
Are you applying as*	An individual agent (go to section 1.1)		
	A corporate body (go to section 1.2)		
	A charity (go to section 1.2)		
	A trust (go to section 1.2)		
	Section 1.1 - Individual details		
Your full legal name	Title First name Middle name(s) Surname		
	Cumanc		
Other name(s) by which you have been known			
Date of birth	(DD/MM/YYYY)		
Correspondence address	Postcode		
Telephone number			
Email			
Please supply one of	Driving licence number		
the following	National Insurance number		
	Passport number		

Section 1.2 – Corporate Body, Trust, Charity This section must be completed by a *Principal User i.e. company director, lead trustee or senior person within the charity. Title First name Middle name(s) **Details of** person completing Date of birth (dd/mm/yyyy) Surname this form Role (job title) **Organisation** name Company registration number* Correspondence address Postcode Same as correspondence address? Registered Yes No If no. enter address below. or principal office address Postcode Contact telephone **Contact email** Section 2 - Your portfolio and business safeguards Which of the following Commercial agent best describes you Social letting agency Let only agency Manage on behalf of relative's property(ies) only Number of rental Number of rental Number of properties in properties in rental properties Wales let only Wales managed in Wales

Your managed property list

You must update and re-upload your Managed Property List at least once every 12 months. Please only include the properties that you manage on behalf of others - do not include your own properties or let only properties. If you have your own properties, these should be in a separate Landlord Registration as required by the Housing (Wales) Act 2014.

A bedsit is a single unit within a property comprising a living/sleeping space for which the resident has exclusive occupation rights. However, the tenant shares some facilities with other occupiers in the building e.g. kitchen and/or bathroom.

Landlord name	Landlord contact number	Landlord address	Landlord email address (leave blank if unavailable)	Rental property address and postcode	Is this landlord registered? (Y/N)	If managing for relatives only, please specify your relationship to the landlord	Is this property a 'Bedsit'? (Y/N)

Are you a memb	er of a professional body? Yes No	
If yes, list here		
If no, do you hav	Professional Indemnity Insurance Membership to a Redress scheme	Expiry date: Expiry date: heme name:
application. This	ered yes to any of the safeguards above, ye evidence must be in the form of a policy cell of safeguard contained.	ou must submit evidence with your
	rovide your consent for Rent Smart Wal	es to display details of your
Further guidance	regarding the requirement to hold business	s safeguards can be found in section 8.
Provide all office addresses used by the company for letting and management business of property in Wales		Postcode
		Postcode
		Postcode
	Section 3 - Training deta	ails
employees have t	gral part of your licence application. You mundertaken approved Rent Smart Wales tradance. Without this evidence for every emp	ining before submitting an application.
I as the applican	t have:	
i) Completed tra application auto	aining directly through Rent Smart Wales (tomatically)	his will be added to your
and/or:		
ii) Completed tr	raining through an external training provide	r and have attached evidence
Which of the fol	lowing training options* did you comple	te?
First-time licence	training Re-licensing training	
CPD for renewal		

How many staff* (or Trust members) conduct letting and management activities? If you have no employees, go to section 4. Title **Employee 1** First name Middle name(s) Additional Date of birth (dd/mm/yyyy) Role (job title) Surname sheets are available at the end of this Language preference: Welsh English form. See section 8 Has this person completed approved training or booked on to guidance Yes No approved training directly with Rent Smart Wales? If yes which training option has been completed?* First-time licence training Re-licensing training CPD for renewal If completed via an authorised training provider, evidence* is required with this form. If yet to complete, which training do they intend to do and when do they expect to complete it by? Date **Employee 2** Title First name Middle name(s) Date of birth (dd/mm/yyyy) Role (job title) Surname Welsh English Language preference: Has this person completed approved training or booked on to Yes No approved training directly with Rent Smart Wales? If yes which training option has been completed?* First-time licence training Re-licensing training CPD for renewal If completed via an authorised training provider, evidence* is required with this form. If yet to complete, which training do they intend to do and when do they expect to complete it by? Date

	Section 4 - Hear about us
How did you hear about the requirement to obtain a licence?	Local authority Search engine Friend / colleague Direct contact from Rent Smart Wales Landlord association Social media Landlord forum / event Letting / managing agent Other (please specify)
	Section 5 - Payment
Debit/credit If you select directly to reprocessing	The fee due is based on the number of managed properties. Refer to the fee table in the guidance section for the appropriate fee for your application. closed made payable to Rent Smart Wales t card (Visa, MasterCard or Maestro) et this option you can scan your application and training certificates entsmartwales@cardiff.gov.uk. We will contact you when we are your application. Please provide the best contact time and number. not provide card details here.
	Section 6 - Declarations
Before the licens fit and proper per For this purpose or formerly asso (a) committed as i. fraud or othe ii. violence, fire	earms or drugs
iii. any offence notification rec	e listed in Schedule 3 to the Sexual Offences Act 2003 (offences attracting quirements),

(c) contravened any provision of the law relating to housing or landlord and tenant such as:

(b) practised unlawful discrimination or harassment on the grounds of any characteristic which is a protected characteristic under section 4 of the Equality Act 2010, or victimised another

person contrary to that Act, in or in connection with the carrying on of any business

i. Unlawfully evicted a tenant

ii. Been refused a House in Multiple Occupation licence or other licence under the Housing Act 2004 iii. Had a licence revoked for breach of any conditions under the Housing Act 2004 iv. Been subject to a Management Order under the Housing Act 2004 v. Failed to comply with a Housing Notice (requiring works) served by a local authority vi. Been subject to complaints from tenants or other sources, regarding serious or repeated breaches of the conditions of a licence under the Housing Act 2004. I confirm none of the above apply to me, or anyone associated or formerly associated with me (whether on a personal, work or other basis) If any of the above apply, you are required to provide relevant supporting information below to explain why. The information provided will be assessed by Rent Smart Wales. Issues highlighted will not necessarily prevent you from being licensed; evidence is assessed on a case by case basis. If you have unspent convictions please provide a data barring service certificate (dated within the last month) with your application. Rent Smart Wales reserves the right to ask you for further information to assess your application, and the right to gather additional information including criminal history from regulatory bodies such as local authorities and the police when appropriate. Information gathered in this way may be taken into account when determining a licence application. Note that spent convictions do not regularly need to be declared in line with the Rehabilitation of Offenders Act 1974. However, certain spent convictions may need to be declared upon request, as outlined in the Rent Smart Wales Collection and Use of Conviction Information Guidance, available on the website. The licensing authority will consider spent convictions where they are relevant to letting and management of properties in Wales. When providing offence details, please specify the court and the date of the conviction: 2. Declaration of truth I/we declare that the information contained in this application is correct to the best of my/our knowledge. I/we understand that I/we commit an offence if I/we supply any information to the licensing authority in connection with any of its functions under Part 1 of the Housing (Wales) Act 2014 that is false or misleading and which I/we know is false or misleading or am/are reckless as to whether it is false or misleading. 3. Data processing and sharing By submitting this form you are accepting the Data Processing Notice which can be read in the guidance section. It is important that you read this notice as it details why we collect your personal data, how it will be used and who it could be shared with. I accept the Data Processing Notice Rent Smart Wales, local authorities and partners want to connect with landlords and agents to keep them informed about local relevant information (forum events, potential tenants, funding opportunities, etc.) Yes, keep me informed - you can unsubscribe at any time by contacting Rent **Smart Wales**

Statement of agreement to the above declarations and data processing notice		
This form must be signed by the individual named in section 1.1 or a company director, lead trustee or senior person within the charity of the entity in section 1.2. Without such a signature it will not be considered as a complete application and will be sent back to you to correct. This will cause a delay in your licence application.		
Please return this form to: Rent Smart Wales, PO Box 1106, Cardiff, CF11 1UA		
Be Aware: This application cannot be processed without your agreement to declarations 1 and 2 and the Data Processing Notice. This form must be filled in in full, signed, dated and the fee payment option selected or enclosed for it to be accepted as a valid application. Contact Rent Smart Wales on 03000 133344 for assistance. Failure to do so will cause a delay in processing and you may be operating illegally.		
Signed Date		
Final check: Have you completed all sections for the form and enclosed Up-to-date managed property list		

Safeguard certificates for Client Money Protection, Professional Indemnity and Redress

Data barring certificate (if applicable)

Cheque with correct fee or appropriate contact details provided

Training certificates for you and your employees, if completed with a private authorised provider

Section 7 - Equal Opportunities

Rent Smart Wales wants to make sure that its services are accessible to everyone. We therefore ask you to answer the following questions so we can be sure our service is delivered fairly.

All questions are voluntary and it will not make any difference to the service you receive if you do not answer them. By choosing to answer them you are helping us to ensure everyone using our service is treated equally. Any information provided will be treated in confidence.

	Gender	
Male Female M	Male to Female Fem	nale to Male Prefer not to say
	What is your age?	
Under 16 16-24	25-34	35-44
45-54 55-64	65+	Prefer not to say
	Disability	
mental health difficulties or learning long-term health conditions, for exa	an include people with heari g disabilities, people with m	ng or sight impairments, people with obility impairments, or those who have s, asthma, multiple sclerosis, HIV or cancer. No Prefer not to say
Deaf / Deafened / M Hard of hearing	Nobility impairment	Long standing illness or health condition
	earning impairment difficulties	Visual impairment
Mental Health difficulties P	Prefer not to say	Other (please specify below)
Sexual orientation	<mark>າ</mark> (Only answer this questic	on if you are over the age of 16)
Gay Man Ga	ay Woman / Lesbian	Heterosexual
Bisexual Pr	refer not to say	Other (please specify below)
	Religous belief / Non-be	lief
Do you regard yourself as belongi	ing to any particular religion	?
Yes (please select)	No, no religion	
Christian Buddh	nist Hindu	Prefer not to say
Muslim Sikh	Jewish	Other (please specify below)

Language skills			
English	Welsh Prefer not to say Other (please specify)		
What is your cu	rrent Marital or Civil Partnership Status (Only answer this question if you are over the age of 16)		
Single Marrie	ed Registered Civil Partnership Prefer not to say Other (please specify)		
	Ethnic monitoring		
Do you consider	yourself to be Welsh? Yes No Prefer not to say		
White	Welsh / English / Scottish Irish Other White background Northern Irish / British (please specify)		
Mixed / Multiple Ethnic Groups	White & Black White & Black Other Mixed/Multiple ethnic background (please specify)		
Asian / Asian British	Indian Pakistani Bangladeshi Chinese Other Asian background (please specify)		
Black / African / Caribbean / Black British	African Caribbean Other Black / African / Caribbean background (please specify)		
Other Ethnicity	Arab Czech Gypsy/Irish Traveller Japanese Polish Yemeni Other background (please specify)		
	Pregnancy and maternity		
Are you pregnant	, or have you given birth within the last 26 weeks?		
Pregnant	Given birth No Prefer not to say		
Thank you for taking the time to complete the Rent Smart Wales Monitoring Form			
End of application form			

Section 8 - Guidance

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Applying as a company, charity or trust

If you are applying on behalf of a formal entity, such as a company, charity or trust, you must ensure that the person completing the form has significant responsibility for the entity. A company director should complete the form on behalf of a corporate body, a lead trustee if applying as a trust and a senior member of the charity if applying as a charity.

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Principal user

As part of your application, you must designate a user as a 'principal user'. It is important that this user is a Director or lead trustee, as this user will have additional permissions to allow them to amend the licence application and profile. This user must have a user account with Rent Smart Wales and be suitably trained and connected to the licence.

Rent Smart Wales can only discuss account specific matters with a 'principal user'. You may therefore decide to designate multiple principals.

The principal person must be someone with the authority to confirm that both they, and anyone else connected or associated with the business, do not have matters to declare in the Fitness and Propriety declaration, such as relevant convictions.

The principal person will be responsible for administering the account, therefore should the principal person leave the business, they must first designate a new principal person(s) to maintain control of the licence application and profile.

Company registration number

For a Corporate Body this is the registration number given by Companies House and for a charity, this is the registration number issued by The Charity Commission.

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Business safeguards

If you are acting as an agent in Wales, you must hold mandatory business safeguards that protect your clients. The business safeguards required are Client Money Protection, Professional Indemnity Insurance and membership to a government-approved Redress scheme.

Certain exemptions to this requirement do apply where the risk is considered to be low due to very small size of portfolio. If you think an exemption may apply to you, contact Rent Smart Wales.

With your consent, Rent Smart Wales will display that you hold business safeguards next to your public record on the Rent Smart Wales public register. By displaying your safeguards on the register, you are ensuring that tenants and clients can act with confidence to choose a suitably insured agent. This requirement is not mandatory, however please be aware that no safeguards will be displayed on your record if you elect not to do so.

Letting and management work

If you have a connected person (defined in the section below) who does any of the following as part of their work at a rental property in Wales, they need to be trained for you to obtain a licence:

- a) publishing advertisements or disseminating information;
- b) arranging and conducting viewings with prospective tenants;
- c) preparing, or arranging the preparation of, the tenancy agreement;
- d) preparing, or arranging the preparation of, any inventory or schedule of condition;
- e) collecting rent;
- f) being the principal point of contact for the tenant in relation to matters arising under the tenancy;
- g) making arrangements with a person to carry out repairs or maintenance;
- h) making arrangements with a tenant or occupier of the dwelling to secure access i) to the dwelling for any purpose;
- j) checking the contents or condition of the dwelling, or arranging for them to be checked as part of a current tenancy or for one that has ended;
- k) serving notice to terminate a tenancy.

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Staff / connected persons

If an individual or company applying for a licence has employees (defined as 'connected persons in the legislation) whose work involves doing letting and management work at rental properties in Wales, they must be declared on the licence application by the applicant and they must all complete agent training in order for the applicant to obtain a licence.

The full definition of a connected person is provided in Section 2 of the Regulation of Private Rented Housing (Training Requirements)(Wales) Regulations 2015.

Generally speaking this is a staff member who you pay a salary to or who is an 'employee' for payroll purposes. People you pay for the services they provide (e.g. contractors) are not counted as 'connected persons' and they do not have to be trained in order for you as an agent to obtain a licence.

Training evidence

An applicant and all relevant connected persons must undertake approved training either delivered by Rent Smart Wales directly or through an authorised provider.

If you have completed training with an authorised provider, you should enclose the certificates as part of your application submission.

The requirements for training differ, depending on whether you have completed a first-time licence course in the past. If not, the person needs to complete first-time licence training.

If yes, the person can either:

1) repeat the first-time **Landlord/Agent Licence Training** - within 1 year before submitting a renewal application; OR

- 2) complete **Re-licensing training** within a year of application submission; OR
- 3) complete **Continued Professional Development** (CPD) training modules over the 5 year period prior to licence application submission (60 points are needed for an agent licence)

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Licence fees

All licence applications made to Rent Smart Wales incur a fee. When applying for an agent licence it is important that you pay the correct application fee, as any discrepancy will result in processing delays

Agent licence fees are graduated to reflect the portfolio size. A discount also applies if an Agent is a member of a recognised professional body. These bodies are currently: UKALA, ARLA, RICS, Safeagent, NAEA.

A social letting agency whose total letting and management activity purely relate to delivery in conjunction with a local authority social letting partnership is also eligible for the Professional Membership Discount.

Applications made online benefit from a reduced fee. The fees set out below relate only to paper applications. Most fees can be split into two parts, which incurs an additional administrative charge of £39. For further information, view the Fee Policy here: rentsmart.gov.wales/feepolicy/

Application type	Number of properties	Single payment	Split fee
Agent licence -	0-4	£223	Part 1: £138 Part 2: £124
less than 20 managed	5-10	£283	Part 1: £164 Part 2: £158
properties	11-20	£343	Part 1: £191 Part 2: £191
Agent licence - let only (no properties managed)	0-20 (let only)	£233	Part 1: £138 Part 2: £124
	21+ (let only)		ategory below according al body membership
Agent licence – No professional body membership, 21 or more managed properties	21 - 50	£2,400	First instalment: £1064 Next four: £334
	51 - 100	£3,200	First instalment: £1420 Next four: £445
	101 - 500	£4,000	Part 1: £1,815 Part 2: £2,224
	501 - 1000	£4,800	Part 1: £2,170 Part 2: £2,669
	1000+	£5,600	Part 1: £2,525 Part 2: £3,114

21 - 50	£2,160	First instalment: £960 Next four: £300
51 - 100	£2,880	First instalment: £1,280 Next four: £400
101 - 500	£3,600	Part 1: £1,637 Part 2: £2,002
501 - 1000	£4,320	Part 1: £1,957 Part 2: £2,402
1000+	£5,040	Part 1: £2,276 Part 2: £2,803
	51 - 100 101 - 500 501 - 1000	51 - 100 £2,880 101 - 500 £3,600 501 - 1000 £4,320

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Data processing notice

A full copy of Rent Smart Wales Data Processing Notice is available at rentsmart.gov.wales/en/privacy-policy/ or can be provided upon request.

Why personal data is collected and stored as part of Rent Smart Wales: In order to meet the obligations of Part 1 of the Housing (Wales) Act 2014, the Licensing Authority is required to develop and maintain a system that allows for the collection of information.

What personal data we hold and how we obtain it: The Types of personal data held and processed by Rent Smart Wales may include:

- Contact details including name, address, telephone numbers, and email address
- Identifying details, including date of birth, National Insurance number, passport number, driving licence number
- Information about convictions

There is also a voluntary monitoring form to ensure that Rent Smart Wales is making sure that its service is accessible to everyone. This contains additional questions that provide further personal data, however this information is only processed anonymously for statistical and reference purposes.

How we will use your personal data: Rent Smart Wales may process personal data to fulfil its obligations under the Housing (Wales) Act 2014, and this can include the processing of personal data for a number of purposes including the following purposes:

- To make contact
- To issue a landlord with a registration; to grant a landlord a licence, or an agent a licence
- To make an assessment on the fitness and propriety of an applicant as per the licence requirements set out in the Housing (Wales) Act 2014
- For the purposes of enforcing non-compliance with the Housing (Wales) Act 2014
- For the purposes of ensuring and monitoring continued compliance with the Housing (Wales) Act 2014 including licence conditions

- For the purposes of ensuring and monitoring continued compliance with the Code of Practice
- To display (to members of the public who search the Register) if a landlord is registered; and if the landlord or agent (or both) identified as undertaking letting or management tasks at the property is licensed
- To facilitate the delivery of training courses
- To process financial transactions for payments made for registration, licensing and training costs
- To assist Local Authorities and the Licensing Authority to carry out their legal duties under the Housing (Wales) Act 2014
- For statistical and reference purposes
- To address queries and respond to any actual or potential disputes.
- In rare cases or exceptional circumstances Rent Smart Wales may use the Rental Property addresses to correspond directly with tenants for purposes outside of the Housing (Wales) Act 2014 when required.

Please be aware that Rent Smart Wales works in Partnership with the 22 Local Authorities in Wales to fulfil its functions under the Act, and therefore information held by Rent Smart Wales will be accessible by the Local Authorities. In addition, Local Authorities may share relevant information they hold with Rent Smart Wales to help determine whether an applicant is a fit and proper to act as a licensed landlord or licensed agent.

Organisations we may share your personal data with: Your information will only be used for the purposes of the Rent Smart Wales Scheme, however on occasions Rent Smart Wales may also share personal data with other organisations. A full list can be obtained on our website.

How long we keep your personal data: Information collected by Rent Smart Wales will not be kept for any longer than necessary. The Rent Smart Wales retention period is 6 + 1 years after licence and/or registration expires. Following the end of this period, the information collected will be securely destroyed.

Who is the Data Controller for Rent Smart Wales: Rent Smart Wales is operated by Cardiff Council. Cardiff Council is therefore the Data Controller in respect of any personal data provided for these purposes. All information provided will be treated in confidence and processed in accordance with the Data Protection Act 2018 at all times. For further information on the Council's data protection requirements please contact the Data Protection Officer, by email on: dataprotection@cardiff.gov.uk.

Your Rights: You are entitled to exercise your individual rights, including access to information, correcting inaccurate information or objecting to the processing of your personal data. For more information on your rights, visit cardiff.gov.uk/ENG/Your-Council/Data-protection-and-FOI/

	Additional Sheets: Training Details
Employee	Title First name Middle name(s) Surname Date of birth (dd/mm/yyyy) Role (job title) Language preference Welsh English Has this person completed approved training or booked on to approved training directly with Rent Smart Wales? If yes which training option has been completed?* First-time licence training Re-licensing training CPD for renewal If completed via an authorised training provider, evidence* is required with this form. If yet to complete, which training do they intend to do and when do they expect to complete it by?
	Date
Employee	Title First name Middle name(s) Surname Date of birth (dd/mm/yyyy) Role (job title) Language preference Welsh English Has this person completed approved training or booked on to approved training directly with Rent Smart Wales? If yes which training option has been completed?* First-time licence training Re-licensing training CPD for renewal If completed via an authorised training provider, evidence* is required with this form. If yet to complete, which training do they intend to do and when do they expect to complete it by? Date